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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)
Sheet 1 of 8

U.S. Patent Documents

Examiner Initials	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document		
CL	3,614,995	Probert, et al.	10/26/1971		
1	3,804,098	Friedman	4/16/1974		
	4,088,138	Diack, et al.	5/9/1978		
	4,161,952	Kinney, et al.	4/22/1979		
1.	4,176,660	Mylrea, et al.	12/4/1979		
	4,198,963	Barkalow, et al.	4/22/1979		
	4,321,929	Lemelson, et al.	3/30/1982		
- 	4,351,330	Scarberry	9/28/1982		
	4,574,807	Hewson, et al.	3/11/1986		
	4,603,705	Speicher, et al.	8/5/1986		
- - - -	4,671,295	Abrams, et al.	6/9/1987		
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	4,753,244	Landymore, et al.	6/28/1988		
	4,919,147	Reinhardt, et al.	4/24/1990		
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$\Psi \vdash$	5,024,228	Goldstone, et al.	6/18/1991		
CL	5,025,807	Zabara	6/25/1991		

Examiner Signature	/Carl Layno/	Date Considered	10/13/2006
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Substitute for f	form 1449/PTO		Comple	te if Known	
	INFORMATION DISCLOSURE	Application N	umber	10/768,999	
		Filing Date		January 30, 2004	
	STATEMENT BY APPLICANT	First named In		Michael R.S. Hill	
		Group Art Unit		3766 Carl Hernandz Layno	
	(use as many sheets as necessary)	Examiner name		065071-9059-12	
-	Sheet 2of 8	Attorney Dock	et Number	003071-9039-12	
		U.S. Patent Documents			
Examiner Initials	U.S. Patent Document Number	Name of Patentee or Docum		Date of Publication of Cited Document	
CL	5,036,848	Hewson		8/6/1991	
1	5,050,600	Parks		9/24/1991	
	5,117,822	Laghi		6/2/1992	
	5,117,828	Metzger, et al.		6/2/1992	
	5,125,406	Goldstone, et al.		6/30/1992	
	5,127,407	Tan		7/7/1992	
	5,154,172	Terry, Jr. et al.		10/13/1992	
	5,156,149	Hudrlik		10/20/1992	
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	5,178,149	Imburgia, et al.		1/12/1993	
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	5,179,952	Buinevicius, et al.		1/19/1993	
	5,186,170	Varrichio, et al.		2/16/1993	
	5,188,104	Wernicke, et al.		2/23/1993	
	5,205,285	Baker, Jr.		4/27/1993	
	5,215,086	Terry, Jr., et al.		6/1/1993	
	5,231,988	Wernicke, et al.		8/3/1993	
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	5,263,480	Wernicke, et al.		11/23/1993	
	5,265,603	Hudrlik		11/30/1993	
	5,265,623	Kroll, et al.		11/30/1993	
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V	5,282,468	Klepinski		2/1/1994	
CL	5,284,146	Czar, et al.		2/8/1994	
Examiner Signature	/Carl Layno/		Date Considered	10/13/2006	

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Substitute for form 1449/PTO		Complete if Known			
	INFORMATION DISCLOSURE	Application Number	10/768,999		
		Filing Date	January 30, 2004		
STATEMENT BY APPLICANT		First named Inventor	Michael R.S. Hill		
		Group Art Unit	3766		
	(use as many sheets as necessary)	Examiner name	Carl Hernandz Layno		
	Sheet 3of 8	Attorney Docket Number	065071-9059-12		
Examiner Initials	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document		
CL	5,299,569	Wernicke, et al.	4/5/1994		
	5,304,120	Crandell, et al.	4/19/1994		
	5,304,206	Baker, Jr., et al.	4/19/1994		
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	5,330,507	Schwartz	7/19/1994		
	5,335,657	Terry, Jr., et al.	8/9/1994		
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	5,379,765	Kajiwara, et al.			
	5,411,529	Hudrlik	5/2/1995		
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	5,456,254	Pietroski, et al.	10/10/1995		
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	5,476,485	Weinberg, et al.	12/19/1995		
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	5,656,420	Chien	8/12/1997		
	5,662,689	Elsberry, et al.	9/2/1997		
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	5,707,400	Terry, Jr., et al.	1/13/1998		
	5,713,924	Min, et al.	2/3/1998		
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	5,755,682	Knudson, et al.	5/26/1998		
∇	5,782,874	Loos	7/21/1998		
CL	5,791,187	Chang	8/11/1998		

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	STAT	TEMENT BY APPLICANT		First named Invi	entor	Michael R.S. Hill
,				Group Art Unit		3766
	(use as many sheets as necessary)		Examiner name		Carl Hemandz Layno
		Sheet 4of 8		Attorney Docket	Number	065071-9059-12
			J.S. Patent	Documents		
Examiner Initials		U.S. Patent Document Number	Nam	e of Patentee or A Docume	Applicant of Cited	Date of Publication of Cited Document
CL	5,	799,661	Boyd, e	et al.		9/1/1998
	5,	824,021	Rise			10/20/1998
	5,	840,076	Swanso	n, et al.	·	11/24/1998
	5,	846,263	Peterso	n, et al.		12/8/1998
	5,	846,264	Anders	son, et al.		12/8/1998
	5,	874,420	Pelleg			2/23/1999
	5,	889,033	Kamins	ski		3/30/1999
	5,	893,881	Elsberr	y, et al.		4/13/1999
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	5,	971,911	Wilk		-	10/26/1999
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	6,	007,559	Arkans			12/28/1999
·	6,	014,588	Fitz			1/11/2000
	6,	042,538	Puskas			3/28/2000
	6,	091,988	Warma	n, et al.		7/18/2000
	6,	103,722	Schultz	, et al.		8/15/2000
	, 6,	141,590	Renirie	, et al.		10/31/2000
	6,	185,459	Mehra,	et al.		2/6/2001
	, 6,	234,985	Lurie,	et al.		5/22/2001
	6,	253,108	Rosbor	ough, et al.		6/26/2001
	6,	266,564	Hill, et	al.		7/24/2001
V	6,	272,380	Warma	n, et al.		8/7/2001
CL	6,	299,564	Gessler	, et al.		10/9/2001
Examiner Signature		/Carl Layno/			Date Considered	10/13/2006

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Substitute for fo	om 1449/PTO		Comple	e if Known	
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	INFORMATION DISCLOSURE	Filing Date		January 30, 2004	
	STATEMENT BY APPLICANT	First named Inve	entor	Michael R.S. Hill	
•		Group Art Unit		3766	
	(use as many sheets as necessary)	Examiner name		Carl Hernandz Layno	
	Sheet 5of 8	Attorney Docket 1	Number	065071-9059-12	
		U.S. Patent Documents			
Examiner Initials	U.S. Patent Document Number	Name of Patentee or A		Date of Publication of Cited Document	
CL	6,303,293	Patterson, et al.		10/16/2001	
	6,304,777	Ben-Haim, et al.		10/16/2001	
	6,381,499	Taylor, et al.		4/30/2002	
	6,414,018	Duhaylongsod		7/2/2002	
	6,429,217	Puskas		8/6/2002	
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	6,449,507	Hill, et al.			
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Ψ	6,718,208	Hill, et al.		4/6/2004	
CL	6,721,603	Zabara, et al.		4/13/2004	
Examiner Signature	/Carl Layno/		Date Considered	10/13/2006	

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Substitute for fo	orm 1449/PTO			Comple	te if Known
	INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Nun Filing Date First named Inve Group Art Unit		10/768,999 January 30, 2004 Michael R.S. Hill 3766
	(use as many sheets as necessary)		Examiner name		Carl Hernandz Layno
	Sheet 6of 8		Attorney Docket	Number	065071-9059-12
		U.S. Patent	Documents		
Examiner Initials			Name of Patentee or Applicant of Cited Document		Date of Publication of Cited Document
CL	6,735,471	Hill, et	al.		5/11/2004
1	6,738,667	Deno,	et al.		5/18/2004
	6,778,854	Puskas			8/17/2004
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	RE38,654	Hill et	al.		11/23/2004
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	2002/0198570	Puskas		12/26/2002	
	2002/0198571	Puskas			12/26/2002
	2003/045909	Gross e	et al.		3/6/2003
	2003/0074039	Puskas			4/17/2003
	2003/0216775	Hill et	al.		11/20/2003
	2003/0216790	Hill et	al.		11/20/2003
	2004/0024422	Hill et	al.		2/5/2004
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	2004/0172075	Shafer	et al.		9/2/2004
V	2004/0186517	Hill et	al.		9/23/2004
CL	2004/0186531	Jahns e	t al.		9/23/2004
Examiner Signature	/Carl Layno/			Date Considered	10/13/2006

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Substitute for form 1449/PTO			Complete if Known
	INFORMATION DISCLOSURE	Application Nun	nber 10/768,999
		Filing Date	January 30, 2004
	STATEMENT BY APPLICANT	First named Inve	entor Michael R.S. Hill
	•	Group Art Unit	3766
	(use as many sheets as necessary)	Examiner name	Carl Hernandz Layno
	Sheet 7of 8	Attorney Docket	Number 065071-9059-12
Examiner	U.S. Patent Document Number	Name of Patentee or A	applicant of Cited Date of Publication of Cited
Initials		Docume	
CL	2004/0199209	Hill et al.	
	2004/0199209 2005/0096707	•	ent Document

			FOREIGN PATENT DOCUM	ENTS		
Examiner Initials	Country Code	Foreign Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Translation	English Abstract
CL	AU	9890156	Duhaylongsod	3/1/1999		
1	AU	779255	Puskas	6/23/2000		
	CA	2,310,183	Stewart, et al.	5/19/1996		
	CA	2,376,903	Puskas	6/23/2000		
	EP	0440111	McEwen	8/7/1991		
	EP	0589252	Dreher, et al.	3/30/1994		
	EP	0688579	Bowalt, et al.	12/27/1995		
	EP	1005337	Puskas	6/7/2000		
	EP	1181947	Borkan	2/27/2002		
	JP	2001-505980	Puskas	6/23/2000		
	JP	2000-507363	Puskas	8/26/1998		
	мх	2043	Puskas	8/26/1998		
	wo	99/07354	Duhaylongsod	2/18/1999		
W	wo	00/01306	Scher, et al.	1/13/2000		
CL	wo	02/26320	Hill, et al.	4/4/2002		

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Examiner Signature	/Carl Layno/	Date Considered	10/13/2006	

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Substitute fo	or form 1449/F	то			Compl	ete if Know	n .	
	INFORMATION DISCLOSURE				Application Number 10/768,5		999	
						January 3	January 30, 2004	
STATEMENT BY APPLICANT				First named In	ventor	Michael F	t.S. Hill	
	(use as many sheets as necessary)				Group Art Unit Examiner name			
							Carl Hernandz Layno	
	Sheet 8of 8			Attorney Docke	t Number	065071-9	059-12	
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Examiner	Country	Foreign Patent Document	FOREIGN PATE		Date of Publica	tion of Cited	T	English
Lnitials	Code	Number	Applicant of Cit	ted Document	Document		Translation	Abstract
CL	wo	99/00057	Ward		1/7/1999			
CL	wo	99/63926	Lurie, et al.		12/16/1999			
CL	wo	00/09206	Mehra, et al.		2/24/2000			

			
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Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial,
symposium, catalog, etc.), date, pages(s), volume-issue numbers(s), publisher, city and/or country where published.
AGNEW, William F., et al., "Considerations for Safety with Chronically Implanted Nerve Electrodes, Epilepsia, 31(Supp. 2), 1990, pp. S27-S32, Raven Press, Ltd., New York.
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BLUEMEL, K.M., et al., "Parasympathetic Postganglionic Pathways to the Sinoatrial Node," Am. Phys. Soc., 1990, pp. H1504-H1508.
BRAUNWALD, E., et al., "Cartoid Sinus Nerve Stimulation in the Treatment of Angina Pectoris and Supraventricular Tachycardia," Western Jour. of Medicine, 1970, No. 112, Vol. 3, pp. 41-50.
BUFKIN, B., et al., "Controlled Intermittent Asystole: Pharmacologic Potentiation of Vagal-Induced Asystole," Ann. Thorac. Surg. 1998; 66: 1185-90.
Declaration/Clarification of John D. Puskas, MD., dated October 11, 2005, pp. 1-7.

Examiner	/0/	Date	10/13/2006
Signature	/Carl Layno/	Considered	10/15/2000

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Substitute for form 1449B/PTO					Complete if Known	
				Application Number	10/768,999	
INFOR	MATION	DISCL	OSURE	Filing Date	January 30, 2004	
STATEMENT BY APPLICANT (use as many sheets as necessary)			ICANT	First Named Inventor	Michael R.S. Hill	
				Group Art Unit	3766	
				Examiner Name	Carl Hernandz Layno	
Sheet	2	of	5	Attorney Docket Number	065071-9059-12	

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS
Examiner Initials		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue numbers(s), publisher, city and/or country where published.
CL		DiMARCO, J. P., M.D., et al., "Adenosine: Electrophysiologic Effects and Therapeutic Use for Terminating Paroxysmal Supraventricular Tachycardia," Therapy and Prevention Arrhythmia, Circulation 68, No. 6, 1983, pp. 1254-1263.
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		FANNING, et al., "Reoperative Coronary Artery Bypass Grafting Without Cardiopulmonary Bypass," Ann. Thorac. Surg., 55:486-489.
		FREILICH, A., M.D., et al., "Adenosine and its Cardiovascular Effects," American Heart Journal, vol. 123, No. 5, May 1992, pp. 1324-1328.
		GORMAN, Christine, et al., "How New Heart-Scanning Technology Could Save Your Life," <u>Time</u> , September 5, 2005, pp. 61 and 67.
		HAGEMAN, G.R., et al., "Direct and Reflex Cardiac Bradydysrhythmias from a Small Vagal Nerve Stimulations," Am. Heart J. 1975, Mar; 89: 338-48 (Abstract only).
	,	HAMMOND, Edward J., et al., "Vagus Nerve Stimulation in Humans: Neurophysiological Studies and Electrophysiological Monitoring," Epilepsia, 31 Suppl. 2), 1990, pp. S51-S59, Raven Press, Ltd., New York.
\bigvee		KLASSEN, et al., "Coronary Venous Pressure and Flow: Effects of Vagal Stimulation, Aortic Occlusion, and Vasodilators," Can. J. Physiol. Pharmacol., 1984, 62:531-538.
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Examiner	/doml Torms/	Date	10/13/2006
Signature	/Carl Layno/	Considered	10/13/2000

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Substitute for form 1449B/PTO				Complete if Known		
				Application Number	10/768,999	
INFORMATION DISCLOSURE				Filing Date	January 30, 2004	\neg
STATEMENT BY APPLICANT (use as many sheets as necessary)			ICANT	First Named Inventor	Michael R.S. Hill	\neg
				Group Art Unit	3766	
)	Examiner Name	Carl Hernandz Layno	
Sheet	3	of	5	Attomey Docket Number	065071-9059-12	

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STATEMENT BY APPLICANT			ICANT	First Named Inventor	Michael R.S. Hill	
			20.21 (2	Group Art Unit	3766	
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REID, Steven A., "Surgical Technique for Implantation of the Neurocybernetic Prosthesis," Epilepsia, 1990, 31 (supp. 2): S38-S39.						
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STATEMENT BY APPLICANT				First Named Inventor	Michael R.S. Hill	
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